

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 17146-0005001	Application No. 10/826,949
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stephen K. Pinto et al.	
		Filing Date April 16, 2004	Group Art Unit 2121

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	2003/0088565	05/2003	Walter et al.			
	2	2004/0030667	02/2004	Xu et al.			
	3	6,026,397	02/2000	Sheppard			
	4	6,684,208	01/2004	Kil et al.			
	5	6,836,773	12/2004	Tamayo et al.			
	6	7,499,897	03/03/2009	Pinto et al.			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	7	Tashman, "Automatic Forecasting Software: A Survey and Evaluation," <i>International Journal of Forecasting</i> , 7(2) (1991)
	8	Wang et al., "An Expert System for Forecasting Model Selection," <i>IEEE</i> (1992)
	9	New technology Card News, 10(6) (April 3, 1995)
	10	Pinto, "Smart Data Use Can Improve Bank Insurance Marketing National Underwriter," 106(20) (July 2002)
	11	Autobox 5.0 for Windows – User's Guide, <i>Automatic Forecasting Systems, Inc.</i> (December 10, 1999)
	12	SmartSoftware Web Pages ( <a href="http://www.smartcorp.com">www.smartcorp.com</a> ), <i>Smart Software Inc.</i> , (July 2001) (Retrieved from Archive.org December 20, 2005)
	13	ForecastPro Product Brochure ( <a href="http://www.forecastpro.com">www.forecastpro.com</a> ), <i>Business Forecasting Systems</i> (2000), (Retrieved from Archive.org December 20, 2005)
	14	PAIR Transaction History for U.S. Application Serial No. 10/826,711, filed on 4/16/2004
	15	PAIR Transaction History for U.S. Application Serial No. 10/826,950, filed on 4/16/2004
	16	PAIR Transaction History for U.S. Application Serial No. 10/826,452, filed on 4/16/2004
	17	PAIR Transaction History for U.S. Application Serial No. 10/826,630, filed on 4/16/2004
	18	PAIR Transaction History for U.S. Application Serial No. 10/826,947, filed on 4/16/2004
	19	PAIR Transaction History for U.S. Application Serial No. 10/826,453, filed on 4/16/2004

Examiner Signature  /Tejal Gami/	Date Considered  02/25/2010
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /TG/